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UNDP Social and Environmental Screening Template (v. July 2022)

The completed template, which constitutes the Social and Environmental Screening Report, must be included as an annex to the Project Document at the design stage. Note: this template will be converted into an online tool. The online version will guide users through the process and will embed relevant guidance.

Project Information

Project Information	
1. Project Title	Transforming wildlife conservation and livelihoods at the landscape scale in Mozambique (TRANSFORM)
2. Project Number (i.e. Quantum project ID, PIMS+) GEF ID	Quantum Project ID 01004597, GEF Project ID number: 11150
3. Location (Global/Region/Country)	Mozambique
4. Project stage (Design or Implementation)	PPG
5. Date	20-02-2026

Part A. Integrating Programming Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?
Briefly describe in the space below how the project mainstreams the human rights-based approach
The project's main objective is to strengthen the integrated management of wildlife conservation and livelihoods improvement at the landscape in central and northern Mozambique through transformative approaches. The project has been developed and will be implemented through a deeply participatory approach to include all concerned groups, with particular attention to the participation and inclusion of women, youth and vulnerable individuals and groups. The project has been designed and will be implemented in line with the HRBA (Human Rights Based Approach), considering that the project activities, outputs and outcome are contributing to strengthen the capabilities of both duty-bearers and rights-holders towards the realization of human rights as well as to address challenges such as gender inequalities, climate change and environmental degradation, violations of the rights of the child, preserving peace and security, and managing migration or responding to crises, such as pandemics. The project has been designed and will be implemented using the HRBA methodology, and, therefore, considering the principle of (principle 1) human rights for all; (principle 2) meaningful and inclusive participation and access to decision-making; (principle 3) non-discrimination and equality; (principle 4) accountability and rule of law for all; and (principle 5) transparency and access to information supported by disaggregated data. Some concern related to possible impacts on Human rights has been identified during PPG, such as the possible impacts of activities under output 1.2 (Joint Anti-Poaching Coordination and Operational Centers - JAPCOCC are equipped with innovative remote patrolling and surveillance technologies and qualified staff), or under output 2.2 (Developed and revised security plans and standard operational procedures to combating wildlife crime and poaching), or under output 3.1 (Established Diversified Livelihoods Challenge Grant supporting small-sized enterprises for nature-positive means of income generation in the target landscapes). The impacts will be assessed further during project implementation and the risks will be mitigated by the assessment and management plans identified, such as a human rights-based assessment and management plan, in line with the UNDP's new Human Rights Assessment Toolkit and Guidance. The HRBA will be enhanced through Human Rights Training for Law Enforcement Officers/Community Rangers) applying URSA guidance. The HRBA will be strengthened also through the implementation of the Gender Action Plan, focusing on Gender and Diversity (principle 3)

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During the PPG, field visits were carried out, led by international and national consultants. During each visit, the team carried out stakeholders' consultations, with national and local authorities, local communities, civil society organizations, and the private sector The PPG team collected feedback from stakeholders, and the perceived impacts of the project have been taken into consideration for developing the project proposal, including the safeguards documents. During stakeholder consultations, different groups and individuals were engaged, with the consultations conducted in Portuguese and in the local languages, to ensure the integration of the point of views of all the stakeholders. The project will ensure that community members, including vulnerable groups or marginalized groups, benefit equitably from project activities. All the findings of the consultations process have been incorporated, in order to build the sustainability of the project on participatory processes. The project will actively engage stakeholders at all levels through targeted outreach and consultation efforts, including a Grievance Redress Mechanism. The project will ensure that all the groups and also individuals involved or interested in the activities will be consulted and informed all along the project implementation. The project will also include in the Stakeholder Engagement Plan a specific focus on ensuring the inclusion of all the groups and individuals involved, to ensure there is equal opportunities for access to project activities also of people originals from other geographical areas, and in order to avoid conflicts among groups with different origins. Local communities will be involved in decision-making processes relevant to their rights and livelihoods such as access to resources. The project will provide capacity enhancement, regular meetings, and ensure involvement in each stage of the process. The above dynamics are important elements underpinning a human rights-based approach, which will be mainstreamed and well-integrated in all the project's components.
Briefly describe in the space below how the project is likely to improve gender equality and women's empowerment
The project embraces a gender-responsive approach, and intends to be gender transformative, aligned with the UNDP Gender Equality Strategy 2022–2025, the ANAC Gender Strategy (2023), and international commitments such as the UN SDG 5 on Gender Equality, CEDAW, and the Beijing Platform for Action. The project recognizes that gender equality is not only a matter of rights and justice but also a critical factor for achieving effective, inclusive, and sustainable conservation outcomes. During the implementation of the PPG, a Gender Analysis and Gender Action Plan were developed. The Gender Action Plan provides the foundation to ensure that gender is systematically integrated into all stages of the project—design, implementation, monitoring, and evaluation. It includes tailored strategies and activities to enhance women's participation in leadership and operational roles, support gender-sensitive training and awareness, address existing gaps in data and monitoring systems, and reduce gender-based vulnerabilities. Through this plan, the project seeks to close gender gaps in conservation governance and ensure that both women and men equitably benefit from project outcomes. It also contributes directly to the GEF-8 goals of gender mainstreaming and social inclusion across environmental interventions. The project adopts a comprehensive, intersectional, and gender-responsive approach to gender mainstreaming, recognizing that gender equality is not only a human rights imperative but also a catalyst for sustainable conservation and inclusive development. The project's strategy aims to ensure that gender considerations are fully integrated across all components, activities, and levels of implementation—from planning and operations to monitoring and evaluation. It reflects the understanding that gender norms, male bias, and structural inequalities affect women's and men's access to, participation in, and benefit from conservation and land governance processes, particularly in rural and marginalized communities. To address these disparities, the project outlines both cross-cutting and gender-specific interventions that are aligned with legal mandates and field realities.
Briefly describe in the space below how the project mainstreams sustainability and resilience
The project will take into consideration the local structure, context and practices related to wildlife conservation and livelihoods activities, and proposing changes that gear these livelihoods towards gradually become more sustainable and resilient, while strengthening the beneficiaries' sense of ownership of sustainability transformation. Therefore, the project implementation will ensure the involvement not only of institutional and formal groups in activities under Output 3.2 (such as Develop business plans. (or other practical planning instruments) and capacitate communities for feasible opportunities; Approval and allocation of financial resources to the communities for implementing the plans; Train selected community members (with focus on women's groups), but also of informal groups and individuals within the landscapes who are or would like to be involved in the project activities and embrace the integrated landscape management approach, but lack the knowledge and opportunity to do so. Sustainability will be ensured through capacity building at the institutional, systemic and individual levels, addressing e.g. the most pressing barriers to a more equitable

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distribution of benefits from the wildlife economy, while protecting biodiversity and safeguarding the sustainable flow of ecosystem services from the landscapes. This will be complemented by robust monitoring tools that will enable adaptive management of the plans and strategies, drawing lessons from project interventions. The project will strengthen coordination processes, enabling participatory planning and actions in the relevant economic sectors across the landscapes. This is expected to lead to systems transformation and assist in the project's capacity-building replication efforts on local practices, leading to sustainability. The project is in line with UNDP objectives to strengthen the resilience of societies to the impact of shocks, disasters, conflicts and emergency situations, to implement the sustainable management, conservation and rehabilitation of natural habitats (and their associated biodiversity and ecosystem functions), and to develop and implement sustainable development pathways. This is especially important because Mozambique is a country highly affected by climate driven disasters such as floods, tropical storms and strong winds and wildfires. The project will help reduce poverty and inequality through its landscape management activities and policy reform activities. Finally, the project will promote sustainable solutions that will respect the sociocultural and economic landscapes, enhance livelihood practices and ensure that practices are adapted to the challenges the country and the population will face in a climate change context.
Briefly describe in the space below how the project strengthens accountability to stakeholders
The Project Board will ensure that the project's Grievance Redress Mechanism is in place, accessible to the public and to project stakeholders and that all grievances are addressed in a timely and acceptable manner in line with the UNDP SES. UNDP's Accountability Mechanism, which includes the Social and Environmental Compliance Review (SECU) and Stakeholder Response Mechanism (SRM) will also serve as an additional layer of grievance redress and empower stakeholders to demand accountability at all levels. Stakeholders, especially amongst less educated rural populations, will be proactively informed about their rights as well as the Grievance Mechanisms. A Comprehensive Stakeholder Engagement Plan was developed during the implementation of the PPG, ensuring that all the groups, including minorities, are correctly involved in the project's implementation and M&E. The project, in line with UNDP principles, promotes accountability to stakeholders by: (i) enabling active local community engagement and participation in decision-making, particularly those at risk of being left behind; (ii) ensuring transparency of interventions through provision of timely, accessible and functional information regarding supported activities, including on potential environmental and social risks and impacts and management measures; (iii) ensuring stakeholders can communicate their concerns and have access to rights-compatible complaints redress processes and mechanisms; and (iv) ensuring effective monitoring—and where appropriate, participatory monitoring with stakeholders—and reporting on implementation of social and environmental risk management measures. The Comprehensive Stakeholder Engagement Plan, the Grievance Redress Mechanism and the Gender Action Plan, will put in place a mechanism that will ensure that there is accountability to stakeholders. The process of accountability to stakeholders started during the implementation of the PPG, and ensured that Stakeholders views were taken into consideration during project implementation. The project includes all the elements of Accountability to stakeholders: Stakeholder engagement and response mechanism, Monitoring, Reporting and Compliance and Access to Information. The monitoring process will involve stakeholders - such as affected communities and independent experts - to complement or verify monitoring activities. The project's Comprehensive Stakeholder Engagement Plan identifies the different ways of involving stakeholders, such as information, communication, consultation, and direct involvement in project activities. All these processes will be reported – through the most appropriate mechanism, adapted to the local context – and will be part of project monitoring and reporting processes. The project activities and related progress will be disclosed at the project inception phase and during project implementation in line with the M&E process. UNDP is committed to making information about its programmes and operations available to the public. UNDP considers public access to information a key component of effective participation of all stakeholders in the human development process

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Part B. Identifying and Managing Social and Environmental Risks

QUESTION 2: What are the Potential Social and Environmental Risks? *Note: Complete SESP Attachment 1 before responding to Question 2.*	**QUESTION 3: What is the level of significance of the potential social and environmental risks?** *Note: Respond to Questions 4 and 5below before proceeding to Question 5*			**QUESTION 6: Describe the assessment and management measures for each risk rated Moderate, Substantial or High**
Risk Description (broken down by event, cause, impact)	***Impact and Likelihood (1-5)***	***Significance (Low, Moderate Substantial, High)***	***Comments (optional)***	***Description of assessment and management measures for risks rated as Moderate, Substantial or High***
Risk 1: Limited capacity to develop and/or implement socially inclusive and human rights-based policies and plans **Principle: Human Rights** **(Questions: P2, P3, P4, P5, P6, P7** **Event:** Government agencies may have limited capacity to develop or implement policies and plans in a socially inclusive and human rights-based manner, leading to adverse impacts on the enjoyment of human rights by project-affected people **Causes:** the risk is related to the policy-level interventions (planned as project activities) that do not include all relevant stakeholders or do not take their rights, needs and interests into full account – such as activities under Output 2.1 (Elaborated and adopted spatial land use instruments, Integral Landscape Management Plans and updated conservation area management plans), Output 2.2 (Developed and revised security plans and standard operational procedures to combating wildlife crime and poaching), Output 2.3 (New National Strategy for Human-Wildlife Coexistence incorporating principles of shared risk management), Output 2.4 (National Wildlife-based Economy Strategy and respective legislation to enable wildlife economies), and Output 2.5 (New conservation-sector directives (e.g. asset tracking, interoperability, e-verification, R-MEET/Ramsar site Management	I = 3 L = 3	Moderate		Output 2.1, 2.2, 2.3, 2.4 and 2.5 will be informed by application of scoped SESA (Strategic Environmental and Social Assessment) principles and methods. These will ensure that: • all relevant social and environmental priorities to be addressed by the policy reforms/planning interventions are identified and assessed; • gaps in the institutional, policy and legal frameworks to address these issues are identified (with appropriate remediation/capacity-building measures built into the resulting policies/plans); • potential adverse social and environmental impacts associated with the policies/plans are identified (and a framework for mitigating them included in an Action Matrix); • all decision makers and stakeholders have a common understanding and give their broad support for implementation. The risk will be also mitigated by the **Human Rights Assessment and Management Plan,** that will be developed to ensure alignment with the HRBA. The project's **Comprehensive Stakeholder Engagement Plan** – has been developed to guide inclusive and appropriately-customized engagement with all categories of stakeholders throughout project cycle from design to implementation. To ensure that the needs and interests of all decision-makers and all vulnerable and marginalized groups are adequately accommodated, **site-level stakeholder analyses** will be conducted and **site-based stakeholder engagements conducted** with customized strategies to address each stakeholder grouping.

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Effectiveness Tracking Tool -) and administrative procedures in support of the utilization of innovative technologies in field operations of conservation area management to combat illegal and unsustainable wildlife trade and protect threatened wildlife species from overexploitation, including non-detrimental impact analysis which will be applicable nationwide) **Impacts:** • Adverse or inequitable/discriminatory impacts, especially on vulnerable or marginalized communities • Restrictions in availability or quality of or access to resources, in particular for marginalized or vulnerable groups • Exacerbation of conflicts – between communities or between communities and government officials. • Discrimination and exclusion from project benefits of the most vulnerable individuals and groups. This may lead to grievances and possible project rejection by affected people. **Risk Owner:** PMU				The development of the site-based Stakeholder Engagement plans will be informed by a **rapid vulnerability assessment** that identifies vulnerable groups based on clear criteria. Community/stakeholder engagements have been conducted during the design/ PPG and stakeholder engagement report prepared. The project's Comprehensive Stakeholder Engagement Plan describes a Grievance Redress Mechanism for addressing complaints arising from project-led activities, and stakeholders will have access to UNDP's Accountability Mechanism The risk will be mitigated also though the implementation of the **Gender Action Plan** –that will ensure that women and men are equally made aware of their rights in terms of accessing to project benefits.
Risk 2: Risk of human rights violations during law enforcement for anti-poaching, protection of wildlife corridors, combating illegal and unsustainable wildlife trade and management of HWC	I = 4 L =2	Moderate		The risk will be mitigated by the following: • An integrated **Human Rights Assessment ad Management Plan** incorporating conflict and security mitigations measures • **A Human rights-based Training** for law enforcers

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(Standard 3: Community Health, Safety and Security (question: 3.7) **Event:** Increase of human wildlife conflicts in the project areas **Causes:** This risk will apply especially to activity focused on engaging local communities in the wildlife economy through the development of wildlife value chains (Output 2.4), including through community quotas working in partnership with Coutadas. **Impacts:** • People and wildlife can be injured or killed • Crops, infrastructure, and homes can be damaged • Farmers and herders can lose their income • Wildlife can carry diseases that affect humans • Humans and wildlife may compete for water and grazing land • Human activities like agriculture, infrastructure development, and urbanization can destroy wildlife habitats **Risk owner:** PMU				(following USAID guidelines) • The development of a Code of Conduct/Ethics for law enforcers (following URSA (Universal Rangers Support Alliance) Global Action Plan) • Appropriate communications channels set up to facilitate communication and conflict resolution between communities and law enforcers • Regular monitoring
Risk 3: Lack of accountability to Stakeholders **Principle: Accountability** **(questions: P.13, P14, P15)**	I = 4 L =2	Moderate		Development and implementation of **Comprehensive Stakeholder Engagement Plan to ensure** inclusive and appropriately-customized engagement with all categories of stakeholders throughout project implementation.

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Risk Description (broken down by event, cause, impact)	***Impact and Likelihood (1-5)***	***Significance (Low, Moderate Substantial, High)***	***Comments (optional)***	***Description of assessment and management measures for risks rated as Moderate, Substantial or High***
Event: Weak or non-inclusive engagement leading to exclusion of some potentially affected stakeholders from decision making that affects them from benefitting equitably from project interventions, in particular marginalized and vulnerable groups. **Causes**: This is a cross-cutting risk and could arise from any project activity if stakeholder engagement is ineffective and channels for addressing complaints are not available or unknown to stakeholders. **Impacts**: • Inequitable participation and distribution of project benefits, leading to weak ownership by stakeholders • Grievances, that escalate, potentially leading to human rights violations **Risk owne**r: PMU, UNDP				Development and implementation of the project's **Grievance Redress Mechanism**. It will be well **communicated to** all stakeholders using appropriate means **and** operationalized within three months from project inception phase. The conflict assessment (see above) should assess risks of reprisals (and related likelihood) against communities who express grievances and identify measures for addressing these – beyond the general project GRM) Accountability, in line with the HRBA, will be ensured through the identification – at project inception phase - of the relevant duty bearers (in line with the Comprehensive Stakeholders Engagement Plan), the identification of their duty to the affected rights holders (especially vulnerable individuals and groups), and of the mechanisms to hold duty bearers accountable. All stakeholders will have access to the **UNDP Accountability Mechanism and** will be informed of how they can access it. The **M&E Framework developed for the project has** specific indicators and targets for tracking stakeholder engagement and operation of the GRM, in line with priorities set in the Stakeholder Engagement Plan. Progress with implementation will be reported in the annual PIR. An **annual Stakeholder Engagement Report** will also be prepared by the PMU and made publicly available. To promote transparency and accountability, all SES documents, along with other key project documents will be **publicly disclosed** in line with UNDP's policy on public disclosure of project information.
Risk 4: Negative changes in the habitats including in environmentally sensitive areas Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management (Questions: 1.1, 1.2, 1.3, 1.4, 1.5, , 1.13)	I = 4 L =4	Substantial	The activities included in the listed outputs may lead to changes in the structure of livelihood activities and relations with and access to	A **scoped Environmental and Social Impact Assessment (ESIA)** will be developed during the first year of project implementation, focusing on the impacts of the identified Outputs. The main impacts are expected to be related to (1) the

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Event: Project activities may lead to adverse changes in the natural habitat, including in environmentally sensitive areas or near protected areas. **Cause:** The risk is related to activities in the newly established conservation areas planned under Output 2.1 (Elaborated and adopted spatial land use instruments, Integral Landscape Management Plans and updated conservation area management plans), Output 3.1 (Established Diversified Livelihoods Challenge Grant supporting small- and medium sized enterprises for nature-positive means of income generation in the target landscapes), Output 3.2 (Alternative and enhanced livelihoods created and generating economic benefits for local communities including eco job-based programs) and Output 3.3 (Veterinary services established, combining the One-Health concept with behavioral and social change management) **Impacts:** • Changes in livelihood activities due to changes in the ecosystem services • Negative impacts on local natural habitats and on health and nutrition of local communities who depend on the ecosystem for livelihood. Habitat loss and fragmentation			natural resources for local communities, with indirect impacts on biodiversity	dissemination and implementation of the management plan to the conservation areas authorities and relevant stakeholders for the Quirimbas National Park and Niassa Special Reserve (Output 2.1), (2) the facilitation of the access to market for sustainable products and services (Output 3.1), (3) the allocation of hunting quotas to selected communities in the corridors as an additional mechanism for value chain (Output 3.2), and (4) the launch of community-wide veterinary service campaigns (e.g., dog vaccination, deworming, tick treatment) to reduce disease transmission vectors (Output 3.3). An **Environmental and Social Management Plan (ESMP)** will be developed, based on the ESIA's findings. Development of a **comprehensive Stakeholder Engagement Plan – which includes a Grievance Redress Mechanism** for engaging the communities in decisions that may lead to impacts on their habitat.
Risk 5: Economic displacement of groups and most vulnerable individuals and	I = 4 L =4	Substantial	The risk has been rated as substantial considering the	A rapid livelihoods, resource-use and land tenure assessment to be conducted following participatory approach to determine

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disruption of land tenure systems **Standard 5 Displacement and Resettlement (question 5.2, 5.4)** **Event**: Project activities may lead to restrictions on access to natural resources (such as land) used by communities to support their livelihoods. Restrictions on access to and availability of resources or land as sources of livelihoods, and/or disruptions to prevailing land tenure arrangements (with or without land title) **Causes**: Project activities that may trigger access restrictions include the ones under Output 1.5 (The connectivity between important biodiversity source areas, such as between Gorongosa National Park core area and Gorongosa Mountain or Niassa Special Reserve and Lake Amaramba, is restored or enhanced), Output 3.2 (Alternative and enhanced livelihoods created and generating economic benefits for local communities including eco job-based programs), Output 3.1 (Established Diversified Livelihoods Challenge Grant supporting small- and medium sized enterprises for nature-positive means of income generation in the target landscapes) and Output 3.2 (Alternative and enhanced livelihoods created and generating economic benefits for local communities including eco job-based programs) **Impacts**: • Loss of livelihood for communities in the project area			project's focus on livelihoods activities, and the changes that the project will introduce. Although the project design has been developed considering this risk, management measures are needed to ensure the sustainability of the intervention and the importance to keep low the possible impacts on the local context.	current resource use patterns and how these will be affected by planned project activities, who will be affected and how they will be affected. The above assessment will inform the preparation of the project Process Framework to establish a process by which the affected persons/ communities will participate and identify measures to mitigate impacts on their livelihoods. The Process Framework will guide the development of a Livelihoods Action Plan (or Action Plans) where economic displacement risks are confirmed. The measures identified in the LAP will be integrated in the implementation of Outcome 2 and Outcome 3. The LAP will incorporate a rapid **vulnerability analysis** to ensure that targeted measures are developed to address economic displacement impacts on the most vulnerable or marginalized communities. The vulnerability analysis will need to take into account that there are project stakeholders who have experience prior displacement in the project's domain. **The PMU must monitor potential impacts of any displacement on the project affected communities.** A comprehensive Stakeholder Engagement Plan – which includes a **Grievance Redress Mechanism** has been developed for engaging the communities in decisions that may lead to restricted access.

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• Changes/erosion of local resources-use practices • Reduced income for communities in the project area • Increased poverty for vulnerable groups and individuals in the project area • Social conflicts between groups and/or individuals with different opportunities to access resources **Risk owner:** PMU				
Risk 6. Dangers and negative risks for the health of employees. **Standard 7: Labour and Working Conditions (Questions: 7.6)** **Event:** Project activities related to the creation of alternatives and enhanced livelihoods and economic benefits may lead to physical hazard and safety risks for workers involved in the project **Cause:** the risk is related to the impacts of activities under Output 3.2 (Alternative and enhanced livelihoods created and generating economic benefits for local communities including eco job-based programs e.g.?), Output 3.1 (Established Diversified Livelihoods Challenge Grant supporting small- and medium sized enterprises for nature-positive means of income generation in the target landscapes e.g?) and Output 3.2 (Alternative and enhanced livelihoods created and generating economic benefits for local communities including eco job-based programs e.g.)	I = 3 L = 3	Moderate		A project Occupational/Community Health and Safety Plan (**OHSP**) will be developed and implemented, considering the Health and Safety procedures already in place for other projects implemented by UNDP in the same sector. The OHSP will be also implemented by the private sector entities and other partners involved in the project activities. The OHSP will take into consideration the findings of the scoped ESIA and will be an annex of the ESMP, that will be developed during the first year of project implementation. Occupational health and safety risks will be managed through application of the highest possible standards in line with relevant national legislation and international recommendations advocated by the International Labour Organization. This will be regularly monitored by the PMU. All workers will be provided with appropriate health and safety training and be adequately equipped to perform project-supported work safely.

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Impacts: • negative impacts on health related to occupational processes for rangers, vet workers and law enforcement staff • negative impacts on the overall ecosystem in the project area. **Risk owner:** PMU				
Risk 7. Conditions hazardous to the health and safety and security of community members and other stakeholders. Standard 3: Community Health, Safety and Security (questions 3.2, 3.4, 3.6) **Event:** Project activities related to the creation of alternatives and enhanced livelihoods and economic benefits may lead to physical hazard and safety risks for project stakeholders. **Cause:** the risk is related to the impacts of activities under Output 1.2 (JAPCOCC are equipped with innovative remote patrolling and surveillance technologies and qualified staff), Output 3.2 (Alternative and enhanced livelihoods created and generating economic benefits for local communities including eco job-based programs), Output 3.1 (Established Diversified Livelihoods Challenge Grant supporting small- and medium sized enterprises for nature-positive means of income generation in the target landscapes) and Output 3.2 (Alternative and enhanced livelihoods created and generating economic benefits for local communities including eco	I = 3 L = 3	Moderate		The **scoped ESIA** will assess the impacts of the identified outputs to the community health, safety and security. The mitigation measures will be included in the scoped **ESMP,** that will be developed during the first year of project implementation. Risk and hazard analysis will be undertaken during implementation and prevention measures applied to safeguard community members and other stakeholders.

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job-based programs) **Impacts:** • Exposure of community members to health, safety and security risks from project activities • negative impacts on health of local communities and other stakeholders • negative impacts on the overall ecosystem in the project area. • Increased cases of grievances **Risk owner:** PMU				
Risk 8: Generation of waste and release of pollutants into the environment **Standard 8: Resource Use Efficiency and Pollution (questions 8.1, 8.2, 8.4, 8.5, 8.6)** **Event**: The creation of alternative livelihood may generate waste and cause release of pollutants into the environment **Causes**: The risk is related to activities under Output 3.2 (Alternative and enhanced livelihoods created and generating economic benefits for local communities including eco job-based programs), Output 3.1 (Established Diversified Livelihoods Challenge Grant supporting small- and medium sized enterprises for nature-positive means of income generation in the target landscapes) and Output 3.2 (Alternative and enhanced livelihoods created and generating economic benefits for local communities including eco job-based programs)	I = 3 L =3	Moderate		The project will manage the risk through **activities design,** based on **targeted waste/pollution and resource use efficiency assessments**, in line with any national regulatory requirements and international best practices. A **Waste Management** and **Pesticide/Chemicals Management Plan** will be developed at project inception phase in line with applicable national regulatory requirements and international standards. The **Waste Management** and **Pesticide/Chemicals Management Plan** will take into consideration the findings of the **scoped ESIA** and will be an annex of the **ESMP,** that will be developed during the first year of project implementation.

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Risk Description (broken down by event, cause, impact)	***Impact and Likelihood (1-5)***	***Significance (Low, Moderate Substantial, High)***	***Comments (optional)***	***Description of assessment and management measures for risks rated as Moderate, Substantial or High***
Impacts: • pollution from accumulated waste in the environment • • Dangers/negative impacts on air, soil or water in the project areas • Potential harm to human health • Undermining of intended positive biodiversity and ecological outcomes **Risk owner:** PMU				
Risk 9: Discriminatory /unsafe working conditions and child labour **Standard 7 Labour and working conditions (questions: 7.1, 7.2, 7.3, 7.5, 7.6)** **Event**: Labour practices may not conform to international norms and standards, especially with regard to child labour and discriminatory/unsafe conditions **Causes**: The risk is related to the labour practices and working conditions for the implementation of activities, especially the ones under Output 1.1 (Joint Anti-Poaching Coordination and Operational Centers (JAPCOCC) are established and with security plans operational, serving as logistics, coordination and monitoring hubs for information and resources exchange across both landscapes and for cross-border coordination), Output 1.2 (JAPCOCC are equipped with innovative remote patrolling and surveillance technologies and qualified staff), Output 1.3 (Human-wildlife-incident	I = 3 L = 3	Moderate		During project implementation, work opportunities will be made available equitably to all sectors of society, ensuring that vulnerable groups such as unskilled workers, women, youth, those living with disability, in remote areas or in deep poverty are given fair opportunities. Child labour – that could be a risk inherent to the context – will be avoided, ensuring that international standards are applied. As per Principle 6 (Supporting ranger welfare through good employment conditions) of the URSA (Universal Ranger Support Alliance) “Safeguarding the Rights and Well-being of Rangers”, the project will take into consideration (and implement actions accordingly) the following: The significant aspects of a work environment are the company/organization’s culture, the physical environment and the employment conditions: • Company culture refers to the way a company and its employees operate, including what effective communication looks like, the company’s goals and values. E.g.: Code of Conduct. • Physical environment refers to the physical conditions a ranger works under, which will play a crucial role in

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response units (HWIRU) engaging community wildlife guardians are equipped with smart technologies to collect and document incidents), Output 1.4 (National veterinary and translocation service centers are established in the landscapes and linked to HWIRU), Output 3.1 (Established Diversified Livelihoods Challenge Grant supporting small- and medium sized enterprises for nature-positive means of income generation in the target landscapes), and Output 3.2 (Alternative and enhanced livelihoods created and generating economic benefits for local communities including eco job-based programs) **Impacts**: • Increase of inequality between men and women and between other groups and individuals in the labour market in the project area • Children may drop out of school in favour of working (also to meet family needs) in the project area • Exposure of workers to injuries and security incidents while on duty. **Risk owner:** PMU				enabling them to accomplish their work successfully. In the case of rangers, it can refer to the location of the place they work, which is almost always outdoors and thus it can also refer to the equipment they have to explore this outdoor place, as well as the physical facilities such as toilets, a place to sleep, office place, etc. and equipment. • Employment conditions include the formal terms under which rangers are hired, such as the rate of pay, contract of employment and length of the workday (or workdays). It refers to work-life balance, workplace safety, but it can also cover recreational activities and other initiatives to promote a healthy workplace. Specific and detailed action will be added to the OHSP that will be developed during project implementation.
Risk 10: Risk of exposure to impacts of climate change and natural disasters Standard 2: Climate Change and disaster risks (questions 2.2, 2.3) **Event**: Project outputs 3.1 and 3.2 will be vulnerable to climate-induced impacts such as severe droughts, floods and/or increased	I = 3 L =3	Moderate		**During early implementation, a Climate Vulnerability/Risk assessment** will be carried out as part of **scoped ESIAs** to: • Identify likely climate change trends and scenarios; • assess risk exposure of proposed activities/outputs, especially under Output 3.1 and 3.2 • identify and evaluate community exposure and vulnerability and develop **climate risk preparedness plans** (as part of the project's **ESMP**) where risk is substantial or high

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temperatures. **Causes**: This cross-cutting risk will apply especially to Output 3.1 (Established Diversified Livelihoods Challenge Grant supporting small- and medium sized enterprises for nature-positive means of income generation in the target landscapes), and Output 3.2 (Alternative and enhanced livelihoods created and generating economic benefits for local communities including eco job-based programs) **Impacts**: Increased vulnerability of project outputs and communities dependent on them, leading to potential failure to deliver any benefits for biodiversity or people. **Risk owner:** PMU				• identify measures that the project can take to avoid, adapt to or reduce/mitigate project impacts though, for example, siting of activities (in least risk-prone areas), use of low-carbon technologies in operations (e.g. solar-powered energy for tourism operations); and developing risk-preparedness plans (for outputs and communities depend on them). • identify climate risk information to be included in training programmes) and knowledge sharing platforms and climate-related parameters for inclusion in the M&E process. • Climate proofing of outputs to be considered (where possible) at the design stage. The following **principles will be integrated into the design of project activities:** • Adaptive Management: Implement adaptive management strategies to respond to changing climate conditions during the project implementation, including extreme weather events. • Resilience Building: Focus on building the resilience of communities and ecosystems to climate change impacts, including capacity building on adaptation techniques • Community Involvement: Engage local communities in planning and implementing adaptation and other activities to ensure local ecological knowledge is incorporated into the activities
Risk 11: Increase of gender inequality – with worsening of conditions of women. **Principle: Gender Equality and Women's Empowerment (questions P.9, P.10, P.11, P.12)** **Event:** The project may reproduce existing inequality between women and men and discrimination and disparities faced by women, especially regarding participation in decision-making, design, implementation and access to project opportunities and benefits	I = 4 L = 4	Substantial	Project activities focusing on enhancement of livelihood activities may lead to exclusion of women who cannot have knowledge on proposed activities or access to the natural resources needed to carry out livelihood activities. The exclusion may lead to increase of inequalities already present in the project areas.	**The Gender Analysis and Action Plan** developed identifies the needs, opportunities and strategies relevant to overcoming the barriers that currently constrain women's participation in livelihood activities. The GAP is ground-truthed during early implementation through site-based analyses. The **Gender Action Plan will be integrated** – if needed – with the findings of the scoped ESIA, that will be developed during the first year of implementation. The **design of activities** under Outcome 3 in particular makes

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and pre-existing discriminatory practices based on gender in the project area **Causes:** The risk is related to all project activities, if women's needs and interests are not adequately factored into design and implementation of interventions and/or if women are not given equitable access to benefits from project-supported activities. However, the risk is linked especially to Output 3.1 (Established Diversified Livelihoods Challenge Grant supporting small- and medium sized enterprises for nature-positive means of income generation in the target landscapes), and Output 3.2 (Alternative and enhanced livelihoods created and generating economic benefits for local communities including eco job-based programs), where the involvement of men and women in processes related to livelihood may not be done considering the local specificities of roles, responsibilities and capacity to access to opportunities of men and women **Impacts:** • Worsening of the social and economic condition of women and reproduction of existing discriminations against women; • Limitations on women's ability to use, develop and manage resources taking into account the different roles of women and men • Livelihoods displacement and increased impoverishment (for the most vulnerable individual – women and men) and associated social impacts related to				specific provision for addressing gender inclusion (for example through gender-specific capacity building) and the project's **indicator framework includes gender disaggregated targets**. The project will develop a participatory and gender-inclusive **M&E system**, which will include measures for assessing improvements in women's ability to access, use and protect natural resources The project's **Grievance Redress Mechanism** will be gender-sensitive.

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food security and other aspects of family well-being and social cohesion. **Risk Owner:** PMU				
Risk 12: Impacts on tangible and/or intangible cultural heritage. **Standard 4 Cultural Heritage (questions 4.1, 4.3, 4.4, 4.5)** **Event**: Adverse impacts on sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture and on local practices. **Causes**: This risk will apply especially to Output 3.3 (Veterinary services established, combining the One-Health concept with behavioral and social change management), Output 4.1 (Established Learning Centers in the landscapes acting as accelerators for the adoption of new practices and behavioral change by communities, especially in food production and ecotourism and in their attitudes towards wildlife, including the promotion of agroforestry as alternative to annual crop agriculture in corridor and buffer zone areas), Output 4.2 (Campaigns, awareness raising and content for formal education programs are delivered to residents of the target landscape) and Output 4.3 (Induced behavioral change in residents of target landscapes with regards to illegal wildlife trade, unsustainable demands in wildlife products and poaching and positive attitudes towards biodiversity translate from verbal change to action; this includes targeted	I = 4 L = 4	Substantial		**Targeted Cultural Heritage Impact Assessment** (including intangible and intangible cultural heritage) will be included in the scoped ESIA, that will be developed during the first year of project implementation. Findings will be captured in the **Cultural Heritage Management Plan** (as part of the project's **ESMP**). The assessment will incorporate at least: • Situation analysis and landscape descriptions that include mapping of sites of cultural/spiritual significance • Preliminary analysis of cultural heritage context at the targeted project sites • An assessment of traditional knowledge linked to the use of natural resources. • Assessment of site-specific impacts The Cultural Heritage Management Plan should include provision for chance-find procedures, in line with applicable national requirements. Assessment of cultural heritage risks must include meaningful and effective **stakeholder consultations** (which must be comprehensively documented) and must be conducted in line with requirements under any national regulatory bodies with jurisdiction over cultural heritage, guidance of local and/or international cultural heritage experts and the UNDP SES on Standard 4.

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programs to reduce deforestation for crop production and the uncontrolled use of fire) considering the possible impacts of activities (involving behavioral changes) on local practices and, therefore, on tangible and intangible cultural practices and heritage. **Impacts**: • Changes in use of natural resources may lead to erosion of cultural practices and traditions and breakdown in cultural values/social cohesion • Project activities may cause physical damage at sites of cultural heritage value (e.g. sacred sites) • Increased number of grievances and possible objections towards the project by community members affecting the outcome of the project. **Risk Owner:** PMU				
Risk 13. Risk of human wildlife conflict in the project area **Standard 3: Community Health, Safety and Security** **(questions: 3.6, 3.7)** **Event:** Increase of human wildlife conflicts in the project areas **Causes: This risk will apply especially to activity focused on e**ngaging local communities in the wildlife economy through the development of wildlife value chains, including through community quotas working in partnership with	I = 3 L =3	Moderate		A Human – Wildlife Conflict assessment will be carried out, as part of the Human Rights Impact Assessment that will be developed during the project implementation. The risk has been taken into consideration during project design phase and will be mitigated by project activities such as the ones planned under Output 1.3 (Human-wildlife-incident response units (HWIRU) engaging community wildlife guardians are equipped with smart technologies to collect and document incidents)

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Coutadas. **Impacts:** • People and wildlife can be injured or killed • Crops, infrastructure, and homes can be damaged • Farmers and herders can lose their income • Wildlife can carry diseases that affect humans • Humans and wildlife may compete for water and grazing land • Human activities like agriculture, infrastructure development, and urbanization can destroy wildlife habitats **Risk Owner:** PMU				
Risk 14. The project may put the project personnel and project beneficiaries in danger considering the high level of insecurity in the project area **Standard 3: Community Health, Safety and Security** **(Questions: 3.7)** **Event**: The people participating in the implementation of all the activities, and especially the ones under outputs 1.2 through 1.6 under Component 1, and 3.1 and 3.2, under Component 3 will be vulnerable to the impacts of insecurity in the project area. **Causes**: This cross-cutting risk will apply to all project activities and especially to Output 3.1 (Established Diversified Livelihoods Challenge Grant supporting small- and medium sized enterprises for nature-positive means of	I = 5 L = 5	High	The security situation in the area could create an operational risk. However, the project itself may put at risk the project staff and beneficiaries, considering the area where is implemented	The risk will be mitigated by the undertaking of security/conflict risk assessment and implementation of the Security plan that will be developed at project inception phase. The assessment will make reference to the provisions in GEF Guidance Note on Fragile and Conflict-Affected Situations Additionally, the Security Risk Assessment and Security Management Plan (SRA/SMP) for the MOZ TRANSFORM project will serve as a key safeguard tool to systematically identify, assess, and mitigate the risks posed by heightened insecurity in the northern project landscape, particularly in the Rovuma–Lugenda Landscape (RLL). Given the presence of non-State armed groups (NSAGs) and recent violence in Niassa Province, the SRA will map specific risk zones, assess threat levels for staff and beneficiaries, and evaluate the potential impact of security incidents on planned field activities—especially those under Component 1 (Outputs 1.2–1.6) and Component 3 (Outputs 3.1 and 3.2), which involve direct community engagement and livelihood interventions.

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income generation in the target landscapes), and Output 3.2 (Alternative and enhanced livelihoods created and generating economic benefits for local communities including eco job-based programs) **Impacts:** • Project personnel and beneficiaries can be injured, killed. • Communities may be displaced, aggravating the humanitarian crisis and generalized vulnerability that already affects provinces in the north of Mozambique. • A worsening of the security situation in areas where the project will be implemented or near those could create an additional operational risk and costs to the project. In extreme cases, conservation and wildlife management activities may need to be suspended or cancelled. There would be delays in achieving outcomes in the Rovuma–Lugenda Landscape (RLL). The project may experience reduced stakeholder trust. **Risk Owner:** PMU advised directly by UN Security				The Security Management Plan will translate the risk findings into actionable mitigation measures. It will define safe operational zones, establish standard operating procedures (SOPs) for field missions, and introduce protocols for emergency evacuation, real-time security communication, and remote monitoring. Special focus will be placed on ensuring the safety of community partners, local SMEs, and field personnel involved in delivering livelihood and conservation programs—particularly those in areas more exposed to conflict dynamics. To reduce exposure, the plan will adopt a tiered approach to implementation, prioritizing secure zones (e.g., areas currently supported by WCS between Hunting Blocks L4 and L7 in Mecula District), with flexibility to delay, relocate, or adapt activities in response to local security assessments. Training for staff and implementing partners on personal safety, conflict-sensitive engagement, and early warning systems will be built into project onboarding and refresher cycles. By operationalizing these strategies, the SRA/SMP will ensure that the project can continue delivering results while minimizing risks to human life, avoiding unintended harm, and maintaining stakeholder confidence—even in a volatile context. It will also support real-time decision-making and adaptive planning, in close coordination with OCHA, UNDSS, local authorities, and humanitarian actors on the ground.
	QUESTION 4: What is the overall project risk categorization?			
		Low Risk	☐	
		Moderate Risk	☐	
		Substantial Risk	☐	

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			High Risk	X	Overall, the risk rating for this project is High (A total of 14 risks have been identified: 1 risk is rated as *High*, 4 risks are rated as *Substantial,* and 9 risks are rated as *Moderate)* To meet the SES requirements the following have been prepared: (1) Comprehensive Stakeholder Engagement Plan; (2) Gender Analysis and Gender Action Plan (3) Grievance Redress Mechanism, (4) Security management Plan To mitigate the identified risks a scoped **SESA** is required for Outputs 2.1, 2.2, 2.3, 2.4, 2.5, as detailed assessment of the cumulative project-wide risks under the upstream planning, policy and strategic components. An **Action Matrix** will report the actions to be implemented based on the SESA findings. A scoped **ESIA** (integrating a Cultural Heritage Impact Assessment), a Human-Wildlife Conflict Assessment and a Human Right Impact assessment will be developed during the first year of project implementation. An **ESMP** will be developed within the first year of project implementation, based on the ESIA findings, and including the following: • A **Waste Management and Pesticide/Chemicals Management Plan** • The mitigation measures for impacts on Cultural Heritage. An **Occupational /community Health, security and Safety Plan (OHSP), to mitigate risks on** Health, safety and security of workers, communities and other project stakeholders. An effective, transparent, free-to-access **project-level grievance redress mechanism** will be put in place to ensure that all issues and concerns will be reported, discussed and addressed. **Security Management Plan**, in light of the increased safety and security risks.

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				A Process Framework will be developed because key activities will take place in a protected area and it is therefore important to ensure inclusive consultation, participation, and fair compensation for communities potentially affected by land use planning and access restrictions in protected areas.

QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are triggered? (check all that apply)

Question only required for Moderate, Substantial and High-Risk projects

Is assessment required? (check if "yes")	X			***Status? (completed, planned)***
if yes, indicate overall type and status		X	Targeted assessment(s) Gender Analysis	Completed
		X	ESIA (scoped Environmental and Social Impact Assessment) –	Planned
		X	SESA (scoped Strategic Environmental and Social Assessment)	Planned
Are management plans required? (check if "yes)	X			

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			If yes, indicate overall type	X	Targeted management plans: Gender Action Plan Comprehensive Stakeholder Engagement Plan Process Framework Livelihood Action Plan Full design, operationalization and dissemination of project Grievance Redress Mechanism Waste Management and Pesticide/Chemicals Management Plan Security management Plan	 Completed Completed Planned Planned Planned Planned Planned

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			X	ESMP (Environmental and Social Management Plan which may include range of targeted plans)	Planned
			X	ESMF (Environmental and Social Management Framework)	Completed
	Based on identified risks, which Principles/Project-level Standards triggered?		**Comments (not required)**		
	Overarching Principle: Leave No One Behind				
	Human Rights	X			
	Gender Equality and Women's Empowerment	X			
	Accountability	X			
	1. Biodiversity Conservation and Sustainable Natural Resource Management	X			
	2. Climate Change and Disaster Risks	X			
	3. Community Health, Safety and Security	X			
	4. Cultural Heritage	X			
	5. Displacement and Resettlement	X			
	6. Indigenous Peoples				
	7. Labour and Working Conditions	X			
	8. Pollution Prevention and Resource Efficiency	X			

Final Sign Off

Final Screening at the design-stage is not complete until the following signatures are included

Signature	***Date***	***Description***
QA Assessor Ronald Cumberbatch, Programme Specialist DocuSigned by: D00DB4E984A2415...	26-Feb-2026	UNDP staff member responsible for the project, typically a UNDP Programme Officer. Final signature confirms they have "checked" to ensure that the SESP is adequately conducted.
QA Approver Cleophas Torori, DRR-P Signed by: E86D5B42289245E...	26-Feb-2026	UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have "cleared" the SESP prior to submittal to the PAC.
PAC Chair Cleophas Torori, DRR-P Signed by: E86D5B42289245E...	26-Feb-2026	UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC.

DocuSigned by:
27826DBB5F3F48D...

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SESP Attachment 1. Social and Environmental Risk Screening Checklist

Checklist Potential Social and Environmental Risks		
INSTRUCTIONS: The risk screening checklist will assist in answering Questions 2-6 of the Screening Template. Answers to the checklist questions help to (1) identify potential risks, (2) determine the overall risk categorization of the project, and (3) determine required level of assessment and management measures. Refer to the SES toolkit for further guidance on addressing screening questions.		
Overarching Principle: Leave No One Behind **Human Rights**		**Answer (Yes/No)**
P.1	Have local communities or individuals raised human rights concerns regarding the project (e.g. during the stakeholder engagement process, grievance processes, public statements)?	N
P.2	Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the project?	Y
P.3	Is there a risk that rights-holders (e.g. project-affected persons) do not have the capacity to claim their rights?	Y
Would the project potentially involve or lead to:		
P.4	adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	Y
P.5	inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities? [16]	Y
P.6	restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities?	Y
P.7	exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals?	Y
Gender Equality and Women's Empowerment		
P.8	Have women's groups/leaders raised gender equality concerns regarding the project, (e.g. during the stakeholder engagement process, grievance processes, public statements)?	N
Would the project potentially involve or lead to:		
P.9	adverse impacts on gender equality and/or the situation of women and girls?	Y
P.10	reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	Y
P.11	limitations on women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? *For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being*	Y
P.12	exacerbation of risks of gender-based violence? *For example, through the influx of workers to a community, changes in community and household power dynamics, increased exposure to unsafe public places and/or transport, etc*.	Y

[16] Prohibited grounds of discrimination include race, ethnicity, sex, age, language, disability, sexual orientation, gender identity, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to "women and men" or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender and transsexual people.

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Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below		
Accountability		
Would the project potentially involve or lead to:		
P.13	exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them?	Y
P.14	grievances or objections from potentially affected stakeholders?	Y
P.15	risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project?	Y
Project-Level Standards		
Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management		
Would the project potentially involve or lead to:		
1.1	adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services? *For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes*	Y
1.2	activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	Y
1.3	changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)	Y
1.4	risks to endangered species (e.g. reduction, encroachment on habitat)?	Y
1.5	exacerbation of illegal wildlife trade?	Y-
1.6	introduction of invasive alien species?	N
1.7	adverse impacts on soils?	N
1.8	harvesting of natural forests, plantation development, or reforestation?	N
1.9	significant agricultural production?	N
1.10	animal husbandry or harvesting of fish populations or other aquatic species?	N
1.11	significant extraction, diversion or containment of surface or ground water? *For example, construction of dams, reservoirs, river basin developments, groundwater extraction*	N
1.12	handling or utilization of genetically modified organisms/living modified organisms?[17]	N
1.13	utilization of genetic resources? (e.g. collection and/or harvesting, commercial development)[18]	Y
1.14	adverse transboundary or global environmental concerns?	N
Standard 2: Climate Change and Disaster Risks		
Would the project potentially involve or lead to:		

[17] See the Convention on Biological Diversity and its Cartagena Protocol on Biosafety.
[18] See the Convention on Biological Diversity and its Nagoya Protocol on access and benefit sharing from use of genetic resources.

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2.1	areas subject to hazards such as earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions?	Y
2.2	outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters? *For example, through increased precipitation, drought, temperature, salinity, extreme events, earthquakes*	Y
2.3	increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)? *For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding*	Y
2.4	increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change?	N
Standard 3: Community Health, Safety and Security		
Would the project potentially involve or lead to:		
3.1	construction and/or infrastructure development (e.g. roads, buildings, dams)? (Note: the GEF does not finance projects that would involve the construction or rehabilitation of large or complex dams)	N
3.2	air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation?	Y
3.3	harm or losses due to failure of structural elements of the project (e.g. collapse of buildings or infrastructure)?	N
3.4	risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health?	Y
3.5	transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	N
3.6	adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)?	Y
3.7	influx of project workers to project areas?	Y
3.8	engagement of security personnel to protect facilities and property or to support project activities?	N
Standard 4: Cultural Heritage		
Would the project potentially involve or lead to:		
4.1	activities adjacent to or within a Cultural Heritage site?	Y
4.2	significant excavations, demolitions, movement of earth, flooding or other environmental changes?	N
4.3	adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	Y
4.4	alterations to landscapes and natural features with cultural significance?	Y
4.5	utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes?	Y
Standard 5: Displacement and Resettlement		
Would the project potentially involve or lead to:		
5.1	temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)?	N

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5.2	economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	Y
5.3	risk of forced evictions?[19]	N
5.4	impacts on or changes to land tenure arrangements and/or community-based property rights/customary rights to land, territories and/or resources?	Y
Standard 6: Indigenous Peoples		
Would the project potentially involve or lead to:		
6.1	areas where indigenous peoples are present (including project area of influence)?	N
6.2	activities located on lands and territories claimed by indigenous peoples?	N
6.3	impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? *If the answer to screening question 6.3 is "yes", then Standard 6 requirements apply, and the potential significance of risks related to impacts on indigenous peoples must be Moderate or above.* *	N
6.4	the absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	N
6.5	the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	N
6.6	forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources? *Consider, and where appropriate ensure, consistency with the answers under Standard 5 above*	N
6.7	adverse impacts on the development priorities of indigenous peoples as defined by them?	N
6.8	risks to the physical and cultural survival of indigenous peoples?	N
6.9	impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices? *Consider, and where appropriate ensure, consistency with the answers under Standard 4 above.*	N
Standard 7: Labour and Working Conditions		
Would the project potentially involve or lead to: (note: applies to project and contractor workers)		
7.1	working conditions that do not meet national labour laws and international commitments?	Y
7.2	working conditions that may deny freedom of association and collective bargaining?	Y
7.3	use of child labour?	Y
7.4	use of forced labour?	N
7.5	discriminatory working conditions and/or lack of equal opportunity?	Y
7.6	occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle?	Y

[19] Forced eviction is defined here as the permanent or temporary removal against their will of individuals, families or communities from the homes and/or land which they occupy, without the provision of, and access to, appropriate forms of legal or other protection. Forced evictions constitute gross violations of a range of internationally recognized human rights.

* Note: revised July 2022 modifying presumption of risk significance from Substantial or higher to Moderate or higher.

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Standard 8: Pollution Prevention and Resource Efficiency		
Would the project potentially involve or lead to:		
8.1	the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	Y
8.2	the generation of waste (both hazardous and non-hazardous)?	Y
8.3	the manufacture, trade, release, and/or use of hazardous materials and/or chemicals?	N
8.4	the use of chemicals or materials subject to international bans or phase-outs? *For example, DDT, PCBs and other chemicals listed in international conventions such as the Montreal Protocol, Minamata Convention, Basel Convention, Rotterdam Convention, Stockholm Convention*	Y
8.5	the application of pesticides that may have a negative effect on the environment or human health?	Y
8.6	significant consumption of raw materials, energy, and/or water?	Y